

3566 U.S. PTO  
03/15/02

03-18-02

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	15675P396
	First Inventor or Application Identifier	Michel Boitel
	Title	ATHERMAL, OPTICAL-FIBRE DEVICE COMPRISING AN INTEGRATED COMPONENT
	Express Mail Label No.	EL651821810US

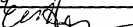
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <b>17</b></span> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <b>4</b></span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies
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<b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent</small> 17. <input checked="" type="checkbox"/> Other: priority request, formal drawings, transmittal
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18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
 Prior Application Information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_  
 For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b> <input checked="" type="checkbox"/> Customer Number of Bar Code Label  or <input type="checkbox"/> Correspondence address below					
Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
Address	12400 Wilshire Boulevard, Seventh Floor				
City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(310) 207-3800	Fax	(310) 820-5988

Name (Print/Type)	Eric S. Hyman, Reg. No. 30,139
Signature	
Date	2/15/02

Budden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

10098574-031502

1002 U.S. PTO  
10/09/01  
03/15/02

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 794.00

## Complete if Known

Application Number  
 Filing Date  
 First Named Inventor Michel Boitel, et al.  
 Examiner Name  
 Group Art Unit  
 Attorney Docket Number 15675P396

## METHOD OF PAYMENT (check one)

☒ Check ☐ Credit ☐ Money ☐ Other ☐ None  
 Card Order

☒ Deposit Account

Deposit Account Number 02-2666  
 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

## FEE CALCULATION

### 1. FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)						
101	740	201	370	Utility filing fee			\$740
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1) (\$)							740.00

### 2. EXTRA CLAIM FEE

Total Claims	Extra Claims	Fee from below	Fee Paid
23	20** = 3	X \$18.00 =	54.00
Independent Claims	1	-3** = 0	X \$84.00 = 0.00
Multiple Dependent			

### Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	
Code (\$)	Code (\$)				
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)					54.00

\*or number of previously paid. 1 greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)						
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination			
112	920	112	920	Requesting publication of SIR prior to Examiner action			
113	1,840	113	1,840	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for response within first month			
116	400	216	200	Extension for response within second month			
117	920	217	460	Extension for response within third month			
118	1,440	218	720	Extension for response within fourth month			
128	1,960	228	980	Extension for response within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavailability			
141	1,280	241	640	Petition to revive - unintentionally			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Petitions related to provisional applications			
126	180	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
Other fee (specify)							
SUBTOTAL (3) (\$)							

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name Eric S. Hyman, Reg. No. 30,139  
 Signature *Eric S. Hyman*

## Complete (if applicable)

Reg. Number  
 Deposit Account User ID 02-2666

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10066674-031502

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MICHEL BOTTEL, ET AL.

Application No.:

Filed:

For: A THERMAL, OPTICAL-FIBRE DEVICE COMPRISING AN  
INTEGRATED COMPONENT

Art Group:

Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 10 Figures. Applicant hereby authorizes any additional extension or petition fees under 37 C.F.R. § 1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 2/15/60

Eric S. Hyman Reg. No. 30,139

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